

Audit Reviews (Position As At End of Q4)						
Audit Plan Year	Final Report Date (Month)	Audit Review Title	Status	Assurance Level	Recommendations	
					Made	Agreed
2011/12	Apr-12	Radstock Road Community Store	Finalised	2	8	8
2011/12	May-12	Payroll Additions & Deductions	Finalised	2	6	6
2011/12	N/A	School Theme - Governance Arrangements (Corporate Work New Financial Standard)	Finalised	N/A	N/A	
2011/12	Jul-12	Accounting Arrangements Asset Management	Finalised	3	8	8
2011/12	May-12	Land Charges	Finalised	3	8	7
2011/12	May-12	HB - Processing Claims	Finalised	3	3	3
2011/12	Aug-12	Housing Allocations (Homefinders)	Finalised	2	14	14
2011/12	May-12	Highway Maintenance & Term Contracts	Finalised	3	9	9
2011/12	May-12	Agresso IT Data security	Finalised	3	7	7
2011/12	Jun-12	Supplier Account & Contract Set Up (Anti Fraud)	Finalised	2	6	6
2011/12	Jun-12	School Theme - Safeguarding	Finalised	3	7	7
2012/ 13	Aug-12	Catering (Property)	Finalised	2	9	9
2012/ 13	Sep-12	Funding Programmes (Policy & Partnerships)	Finalised	4	3	3
2012/ 13	Aug-12	Themed School Review - Purchasing Arrangements	Finalised	4	7	7
2012/ 13	Sep-12	Library Services - Overall Arrangements	Finalised	4	5	5
2012/ 13	Sep-12	Bereavement Service (Cemetaries & Crematoria)	Finalised	3	11	11
2012/ 13	Sep-12	Tenancy Fraud (Housing Allocations - Changes in regulations)	Finalised	2	6	6
2012/ 13	Nov-12	Council Tax - Liability, Billing, & Refunds (Incl Northgate Revs & Bens Application)	Finalised	4	13	12
2012/ 13	Oct-12	Public Protection (Environmental Services)	Finalised	3	19	19
2012/ 13	Oct-12	Cleaning Service	Finalised	4	3	3
2012/ 13	Oct-12	Neighbourhood Operations	Finalised	3	12	12
2012/ 13	Oct-12	Payments to Dom Care Providers	Finalised	3	4	4
2012/ 13		Health & Safety	Draft			
2012/ 13	Dec-12	System Administration Management and Control - Privileged Users	Finalised	1	7	7
2012/ 13		Anti Fraud & Corruption Expenditure	Draft			
2012/ 13		Waste Operations - Disposals	Draft			
2012/ 13	Nov-12	Home to School Transport (Administration incl. Entitlement / Duty of Care)	Finalised	4	2	2
2012/ 13		WINBACS / BACS	Draft			
2012/ 13	Nov-12	CHAPS & Cheques	Finalised	4	2	2
2012/ 13	Feb-13	Youth Offending	Finalised	3	10	10
2012/ 13	Jan-13	Payroll (PEN05 / TR17)	Finalised	3	6	6
2012/ 13	Dec-12	Service IT Budgets, coding and recharging	Finalised	3	2	2
2012/ 13	Jan-13	Personalised Budgets	Finalised	2	5	5
2012/ 13	Dec-12	Youth	Finalised	4	4	4
2012/ 13		Budgeting (Cost Centre Management)	Draft			
2012/ 13	Feb-13	Passenger Support (RENAMED Transport Services) see Fleet Management below	Finalised	5	2	2
2012/ 13		Fleet Management (SEE ABOVE)	Finalised	N/A	N/A	N/A
2012/ 13	Mar-13	Registrars	Finalised	4	10	10
2012/ 13		Cash Receipting System / Civica Application	Finalised		Consultancy	Work
2012/ 13		WEP / LEP Governance	Draft			
2012/ 13		Economic Enterprise & Business Development	Draft			
2012/ 13	N/A	Adult Commissioning (Connecting Families)	Finalised		Consultancy	Work
2012/ 13	Jan-13	NNDR	Finalised	4	1	1
2012/ 13		Revenues (Commercial) Estate	WIP			
2012/ 13		Anti Fraud Corruption - Income	WIP			
2012/ 13		Heritage Events Management	Draft			
2012/ 13		Off Street Car Parking (Parking Services Review)	WIP			
2012/ 13		On Street Car Parking (Parking Services Review)	WIP			
2012/ 13	Feb-13	Themed School Review - Income Collection	Finalised	4	5	5
2012/ 13	Feb-13	Themed School Review - Payments	Finalised	4	4	4
2012/ 13	Feb-13	ONE Application	Finalised	4	2	2
2012/ 13	Feb-13	Carefirst Application	Finalised	4	2	2
2012/ 13	Apr-13	Commissioning - Childcare Placements	Finalised	5	2	2
2012/ 13		Planning Enforcement	Draft			
2012/ 13		Adult Safeguarding	Draft			
2012/ 13		Pensions Administration	Draft			
2012/ 13		Parking Enforcement (Incl. Bus Lanes / Gates)	Draft			
2012/ 13		Pension Investments	WIP			
2012/ 13		Park & Ride	C/f		2013/14	Plan
2012/ 13	N/A	PCIDSS	Finalised		Consultancy	Work
2012/ 13		Payroll - Starters & Leavers	Draft			
2012/ 13	N/A	Residential & Nursing Care Payments	Finalised		Consultancy	Work
2012/ 13		Public Transport	WIP			
2012/ 13		Strategic Transport Projects	Draft			
2012/ 13		Design & Projects (Structures & General Programme)	Draft			
2012/ 13	Apr-13	Admission Team	Finalised	4	4	4
2012/ 13	Feb-13	Fostering Allowances	Finalised	5	0	0
2012/ 13		SIMS Application	WIP			
2012/ 13		Waste Operations - Collections	Draft			
2012/ 13		Heritage Income	WIP			
2012/ 13		Improvement & Performance - HR / Payroll Client Side Management	Finalised		Consultancy	Work
2012/ 13		Schools Theme Review (Personnel & Payroll)	WIP			
2012/ 13		Safeguarding - Ofsted Review 2012 findings - Risk Rating Re-assessed	Postponed			
2012/ 13		Pest Control - Risk Rating Reassessed based on Anti-Fraud Income Audit Review	Postponed			
2012/ 13		Performance Management (Public Health) - Risk Rating Re-assessed	Postponed			
2012/ 13		Procurement (Service specific) - School Theme Procurement Audit Scheduled 2013/14	Postponed			
2012/ 13		Commissioning (Commissioning Support) - Risk Rating Re-assessed	Postponed			
2012/ 13		Accounts Payable - Scheduled for 2013/14	Postponed			
2012/ 13		Business Continuity & Disaster Recovery - Scheduled for 2013/14	Postponed			
2012/ 13		Capital & Schools Organisation Capital Strategy - Capital Expenditure Audit 2013/14	Postponed			
2012/ 13		Early Years Strategic Planning - Grants - Scheduled for 2013/14	Postponed			
2012/ 13		Documentum - Risk Rating Re-assessed	Postponed			
2012/ 13		Council Tax / NNDR - Collections, Recovery, Enforcement, W-Offs - Scheduled 2013/14	Postponed			
2012/ 13		Scan Coin Payment Kiosk - Cash Collection Audit Scheduled 2013/14	Postponed			
2012/ 13		Energy Management - Scheduled 2013/14	Postponed			
2012/ 13		Insurance - Scheduled 2013/14	Postponed			
2012/ 13		Heritage Exhibits & Stocks - Scheduled 2013/14	Postponed			
2012/ 13		Heritage Marketing - Risk Rating Re-assessed	Postponed			